

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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**HAND DELIVERED**

THADDEUS GEORGE MCCOTTER

(Full Name)

202-225-8171

(Daytime Telephone)

2011 MAY 13 PM 12:03  
(Office Use Only)

LEGISLATIVE RESOURCE CENTER

Filer Status ☒ Member of the U.S. House of Representatives State: MI District: 11

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name THADDEUS GEORGE MCCOTTER

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$200.

Source	Type	Amount
ST. MARY'S MERCY HOSPITAL	SPOUSES SALARY	\$33,379.23

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name **THADDEUS GEORGE MCCOTTER**

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## **BLOCK A**

### **Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any derivative securities; and any other financial instrument or

## **BLOCK B**

### **Year-End Value of Asset**

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

## **BLOCK C**

### **Type of Income**

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

## **BLOCK D**

### **Amount of Income**

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

## **BLOCK E**

### **Transaction**

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

P	11 SHS DAIMLER AG	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - Black Rck US Opps	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - Columbia SmCap Val	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - Columbia SmCapGrth	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - Dreyfus LtdHghYld	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - Federated Totl Rtn	\$1 - \$1,000	None	NONE	

# CHEDULE III - ASSETS AND "UNEARNED" INCOME

Name THADDEUS GEORGE MCCOTTER

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3	403b Not Previously Reported - Fidelity Low Price	\$1,001 - \$15,000	None	NONE	P
3	403b Not Previously Reported - Harbor Intl	\$1 - \$1,000	None	NONE	
3	403b Not Previously Reported - Loomis Value	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - TAP Instl Mid Value	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - TF LIC Guar Pool	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - Vanguard Intl Prot	\$1 - \$1,000	None	NONE	
P	403b Not Previously Reported - Vanguard Inst Indx	\$1,001 - \$15,000	None	NONE	P
T	BANK OF AMERICA	\$1,001 - \$15,000	None	NONE	
DC	BANK OF AMERICA	\$1 - \$1,000	None	NONE	
DC	BANK OF AMERICA	\$15,001 - \$50,000	None	NONE	
DC	BANK OF AMERICA	\$1,001 - \$15,000	None	NONE	
IT	COLUMBIA MONEY MARKET FUND (FUND NAME CHANGE - FORMERLY "RVS - CASH MGT")	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P

# CHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	ESA COLUMBIA MULTI-ADVISOR SMALL CAP VALUE (FUND NAME CHANGE - FORMERLY "RVS SMALL CAP") (EM)	\$15,001 - \$50,000	None	NONE	
DC	ESA COLUMBIA MULTI-ADVISOR SMALL CAP VALUE (FUND NAME CHANGE - FORMERLY "RVS SMALL CAP") (GM)	\$1,001 - \$15,000	None	NONE	S(part)
DC	ESA COLUMBIA MULTI-ADVISOR SMALL CAP VALUE (FUND NAME CHANGE - FORMERLY "RVS SMALL CAP") (TM)	\$15,001 - \$50,000	None	NONE	
	IRA RVS STRATEGIC SELECT PORTFOLIO - CENTURY S/CAP SELECT	\$15,001 - \$50,000	None	NONE	
	IRA RVS STRATEGIC SELECT PORTFOLIO - FIDELITY ADV NEW INSIGHTS	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	IRA RVS STRATEGIC SELECT PORTFOLIO - JOHN HANCOCK LG CAP EQUITY	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	IRA RVS STRATEGIC SELECT PORTFOLIO - OPPENHEIMER GLOBAL STRATEGIC INCOME (FUND NAME CHANGE - FORMERLY "OPPENHEIMER STRATEGIC INCOME")	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
	IRA RVS STRATEGIC SELECT PORTFOLIO - VK GROWTH AND INCOME	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	ROTH COLUMBIA MID-CAP GROWTH OPPORTUNITY (FUND NAME CHANGE - FORMERLY "RVS MID CAP GROWTH")	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	ROTH COLUMBIA MULTI- ADVISOR INTL VALUE (FUND NAME CHANGE - FORMERLY "RVS PARTNERS INTL SELECT VALUE")	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	ROTH COLUMBIA PORTFOLIO BUILDER MODERATE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	ROTH COLUMBIA PORTFOLIO BUILDER MODERATE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	RVS ROLLOVER Retirement Advisor 4 VA - SURRENDER VALUE	\$15,001 - \$50,000	None	NONE	
SP	RVS Variable Universal Life - FACE VALUE	\$100,001 - \$250,000	None	NONE	P
DC	UGMA Columbia Large Core Quantitative (Fund name change - formerly "RVS Disciplined Equity")	\$1,001 - \$15,000	None	NONE	
DC	UGMA Columbia Mid-Cap Growth Opp (Fund name change - formerly "RVS Mid Cap")	\$50,001 - \$100,000	None	NONE	
DC	UGMA Columbia Select Smaller-Cap Value (Fund name change - formerly - "Seligman Smaller Cap Value")	\$1,001 - \$15,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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IC	UGMA SELIGMAN GROWTH FUND	\$1,001 - \$15,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

Name THADDEUS GEORGE MCCOTTER

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, JC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	403b Not Previously Reported - Fidelity Low Price	P	N/A	various bi-weekly payroll deferrals	\$1,001 - \$15,000
SP	403b Not Previously Reported - Vanguard Inst Indx	P	N/A	various bi-weekly payroll deferrals	\$1,001 - \$15,000
IT	COLUMBIA MONEY MARKET FUND (FUND NAME CHANGE - FORMERLY "RVS - CASH MGT")	P	N/A	MONTHLY CONTRIBUTIONS	\$1,001 - \$15,000
JC	ESA COLUMBIA MULTI-ADVISOR SMALL CAP VALUE (FUND NAME CHANGE - FORMERLY "RVS SMALL CAP") (GM)	S(part)	No	08/20/2010	\$1,001 - \$15,000
SP	IRA RVS STRATEGIC SELECT PORTFOLIO - OPPENHEIMER GLOBAL STRATEGIC INCOME (FUND NAME CHANGE - FORMERLY "OPPENHEIMER STRATEGIC INCOME")	P	N/A	VARIOUS - REINVESTMENT OF DIVIDENDS	\$1,001 - \$15,000
	ROTH COLUMBIA PORTFOLIO BUILDER MODERATE	P	N/A	03/09/2010	\$1,001 - \$15,000
	ROTH COLUMBIA PORTFOLIO BUILDER MODERATE	P	N/A	03/09/2010	\$1,001 - \$15,000
SP	RVS Variable Universal Life - FACE VALUE	P	N/A	MONTHLY PREMIUMS	\$1,001 - \$15,000



**SCHEDULE VIII - POSITIONS**

Name THADDEUS GEORGE MCCOTTER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Screaming Lemur Publishing Company, LLC. Rep. McCotter is the sole owner and President of the company whose sole purpose is to receive compensation from book sales. See section IX for additional details. No compensation was received in 2010.

# SCHEDULE IX - AGREEMENTS

Name THADDEUS GEORGE MCCOTTER

notify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
6/23/2009	Intercollegiate Studies Institute, Inc. (ISI), the publisher and Thaddeus McCotter	Congressman McCotter wrote a book of approximately 50,000 words in length for the publisher. In exchange, the Congressman is to receive compensation of 10% of all gross revenues paid to the publisher.
6/23/2009	ISI - continued	In 2010, no compensation was received under the agreement. After the contract expires, there are no agreements of future employment with ISI. There is no required leave of absence from government service to complete the book.
6/23/2009	ISI - continued.	Furthermore, there are no provisions to continue or defer any payments by ISI as all compensation is based on gross revenues from books sales. Finally, there is no continuing participation in any employee welfare or benefit plan by ISI or its affiliates.